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Attorney Docket No. DEM1P008

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: NEAL et al.

Attorney Docket No.: DEM1P008

Application No.: 10/006,608

Examiner: CANGIALOSI, Salvatore A.

Filed: November 30, 2001

Group: 3621

Title: SUBSET OPTIMIZATION SYSTEM

Confirmation No: 1143

Mail Stop: Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

November 30, 2005

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 30, 2005.

Signed

Kang S. Lim

Dear Sirs:

<u>INFORMATION DISCLOSURE STATEMENT FILED</u> <u>UNDER 37 C.F.R. §§ 1.56 AND 1.97(b)</u>

Pursuant to 37 C.F.R. § 1.56, Applicants bring the following documents to the Examiner's attention in the referenced application.

Citation of the above documents shall not be construed as an admission that the documents are necessarily prior art with respect to the referenced application. Furthermore, citation of the above documents shall not be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

12/06/2005 NROCHA1 00000019 10006608

- ____ 37 C.F.R. § 1.97(b). This Information Disclosure Statement is: (i) filed within three (3) months of the filing date of the above-referenced application, (ii) believed to be filed before the mailing date of a first Office Action on the merits or, (iii) believed to be filed before the mailing of a first Office Action after the filing of a Request for Continued Examination under §1.114. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement.
- X 37 C.F.R. § 1.97(c). This Information Disclosure Statement is filed after the period specified in C.F.R § 1.97(b), but is believed to be filed before the mailing date of either (i) a final action under § 1.113 or, (ii) a notice of allowance under § 1.311.
- ____ A certification pursuant to 37 C.F.R. §1.97(e) or
- X A Credit Card Payment Form authorizing the charge of \$180.00 for the fee under 37 C.F.R. § 1.17(p).

If the Examiner has any questions or comments with respect to the present application, the Examiner is respectfully invited to telephone the undersigned at (925) 570 8198. The Commissioner is authorized to charge any additional fees that may be due to our Deposit Account No. 50-2766 (Order No. DEM1P008).

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Respectfully submitted,

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Attorney for Applicant(s)

Reg. No. 37,491

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OF COMMENTS CITED BY APPLICANT COMPLY WITH 37 C.F.R. 1.56

ATTY. DOCKET NO. DEM1P008	SERIAL NO. 10/006,608 EAL		
APPLICANT N			
FILING DATE 11/30/01	GROUP 3621		

			U.S.	PATENT	DOCUMENTS				
EXAMINER INITIAL*				NAME		SUBCLASS	FILING DATE IF APPROPRIATE		
	AA	6,910,017	06/21/05		Woo et al.				
	AB	6,546,387	04/08/03		Triggs				
	AC	2002/0198794	12/26/02	,	Williams et al.				
	AD	2002/0123930	09/05/02		Boyd et al.				
	AE	2002/0107819	08/08/02		Ouimet				
	AF	6,405,175	06/11/02		Ng				
	AG	2002/0042739	05/11/2002	S	rinivasan et al.				
-	AH	2002/0023001	02/21/02		McFarlin et al.				
	ΑI	5,521,813	05/28/96		Fox et al.				
	AJ	5,212,791	05/18/93		Damian et al.				
	AK	3,017,610	01/16/62		Auerbach et al.				
		OTHER D	OCUMENTS	S (Including	Author, Title, Date, Pert	inent Pages, E	tc.)		
		"KhiMetrics Helps Retailers Increase Margins With Two New Tools for Their Retail Revenue Management Application Suite." PR Newswire, March 1, 2001.							
-		"KhiMetrics and Retek Form Marketing Alliance for Comprehensive Retail Pricing Solution." PR Newswire, February 19, 2001.							
	вс	Barth, Brad, "ShopKo Holds the Price Line." Daily News Record, page 10, October 4, 2000							
	BD	Barth, Brad, "Shopko Tests Automated Markdowns", WWD 10/04/00, pages 1-3.							
	BE	"Manugistics Agrees to Acquire Talus Solutions." PR Newswire, September 21, 2000.							
	BF	"Goodyear Implements Trilogy's MultiChannel Pricing Solution as Its Enterprise-Wide E- Pricer Platform." Business Wire, page 2286, June 27, 2000.							
		"IMRglobal Signs New Product Implementation Agreement with Retek to Improve Retail Pricing and Markdown Process." Business Wire, page 1590, June 7, 2000.							
EXAMINER	EXAMINER DATE CONSIDERED								

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

OCUMENTS CITED BY APPLICANT
TO COMPLY WITH 37 C.F.R. 1.56

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ATTY. DOCKET NO. DEM1P008	SERIAL NO. 10/006,608			
APPLICANT NEAL				
FILING DATE 11/30/01		GROUP 3621		

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t.	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)					
BF	Cook, Martie, "Optimizing Space and Sales with Markdown Software", Office.com, 05/31/2000, page 1.					
BI	"New Tools for Modeling Elasticity, Optimizing Prices and Collecting Live Pricing from the Web to Debut at Retail Systems 2000 in Chicago." Business Wire, page 1484, April 18, 2000.					
ВЈ	"Essentus and Spotlight Solutions Partnership Delivers Precise Markdown Decisions", Business Wire, 04/17/00, 3 pages.					
BI	Melcer, Rachel, "Local Tech Firm Creates Retail Markdown Tool", Business Courier online, 03/24/00, pages 1-4.					
ВІ	Technology Strategy Incorporated, <u>www.grossprofit.com</u> , 03/02/00, pages 1-20.					
В	Kadiyali et al., "Manufacturer-retailer Channel Interactions and Implications for Channel Power: An Investigation of Pricing in Local Market", Marketing Science, Spring 2000, V. 19, Issue 2.					
BI	Andrew B. Gelman et al., "Bayesian Data Analysis", pgs. 439-455, Chapman & Hall/CRC, First Edition 1995, Reprinted 2000.					
ВС	Smith et al., "A Discrete Optimization Model for Seasonal Merchandise Planning." Journal of Retailing, vol. 74, no. 2, page 193(29), Summer 1998.					
BF	Abraham, Magid M. and Leonard M. Lodish, "Promoter: An Automated Promotion Evaluation System," Marketing Science, Vol. 6, No. 2, 1987 (p. 101-123).					
ВС	BQ Little, John D. C., "Brandaid: A Marketing-Mix Model, Part 1: Structure," Operations Research, Vol. 23, No. 4, July-August 1975 (p. 628-655).					
BF	BR Cerf, Vinton G. and Robert E. Kahn, "A Protocol for Packet Network Interconnection," IEEE Transactions on Communications COM-22, May 1974, (p. 637-648).					
BS	Flanagan, David, "Javascript: The Definitive Guide, 3 rd Edition," published by O'Reilly in June 1998 (ISBN 1-56592-392-8) section 14.8.					
ВЛ	Berners-Lee, T., "Hypertext Markup Language 2.0 Working Paper," Nov 1995 (pages 1-3).					
В	J Tellis, Gerard J., and Fred S. Zufryden, "Tackling the Retailer Decision Maze: Which Brands to Discount, How Much, When and Why," Marketing Science, Vol. 1, No. 34, 1995 (pages 271-299).					
EXAMINER		DATE CONSIDERED				

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